

# YAHOO! MAIL

**Subject** Fw: Fwd: Loan 50074 – ANNEXED 153974/2020. MiFID–1516523.  
**From** Bo Dincer <bo.dincer@yahoo.com>  
**To:** Ashley V. Humphries <ashley.humphries@wilsonelser.com>, ricki.roer@wilsonelser.com <ricki.roer@wilsonelser.com>, American Bar Association <abanews@americanbar.org>, American Bar Association <service@americanbar.org>, MATT HARVEY <mharvey13@bloomberg.net>, Solowresidential Info <info@solowresidential.com>, FDIC Public Information <publicinfo@fdic.gov>, Newyork <newyork@sec.gov>, NYT Corrections <corrections@nytimes.com>, Federal Reserve Bank of New York <general.info@ny.frb.org>, Extellmanagement Info <info@extellmanagement.com>, UNITED ARTISTS MUSIC <investorrelations@umusic.com>, investor@annaly.com <investor@annaly.com>, InvestmentNews <editorial@investmentnews.com>, Josephine Vella <josephine.vella@finra.org>, Dow Jones <wsjprosupport@dowjones.com>, Dow Jones <pronewsletter@dowjones.com>  
**Cc:** ZUCKER ORGANIZATION <jgiamboi@mskyline.com>, jpetit@mccarter.com <jpetit@mccarter.com>, thermanson@northmarq.com <thermanson@northmarq.com>, Josephine.Vella@finra.org <Josephine.Vella@finra.org>, The New York Times <letters@nytimes.com>, ombudsman@tdcj.texas.gov <ombudsman@tdcj.texas.gov>, ombudsman@sec.gov <ombudsman@sec.gov>, Pamela Gibbs [SEC] <omwi@sec.gov>, james.omeara@clinton.com <james.omeara@clinton.com>, PAM OLSON <pam.olson@us.pwc.com>, Chicago Office Equipment & Supplies <chicago@sec.gov>, Carl Hoecker [SEC] <oig@sec.gov>, LA TIMES ORANGE COUNTY <oc@email.latimes.com>  
**Bcc:** mshy1@bloomberg.net <mshy1@bloomberg.net>, mshyld@bloomberg.net <mshyld@bloomberg.net>, LLC. MANHATTAN SKYLINE <administrator@mskyline.com>, mstanley153@bloomberg.net <mstanley153@bloomberg.net>  
**Date** Thu, May 5, 2022 at 11:45 AM

Loan dockets, premerger.

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

/s/ AUGUST 8TH, 2020

- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291002](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002)
- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291003](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003)
- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291004](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291004)
- 1004 HAS SOME ADDITIONAL COVENANTS THEREIN, WAS NOT TERMINATED IN THE 90-DAY WINDOW.

X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20190201&stmtType=SOA>  
X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20181116&stmtType=SOA>  
X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20180824&stmtType=SOA>  
X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20180601&stmtType=SOA>  
PREMIUMS ON THESE RECEIPTS HERE GO BACK 15 YEARS +

\*\*

\*\*  
NYSCEF DOC. NO. 220  
RECEIVED NYSCEF: 08/02/2020  
INDEX NO. 153974/2020

\* [https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI\\_PLUS\\_Q==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI_PLUS_Q==)

NYSCEF DOC. NO. 348  
RECEIVED NYSCEF: 08/09/2020  
INDEX NO. 153974/2020

\* <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVlHktnJU/ni/zvg==>

WARNING. JUNE 3RD, 2021 -----

NYSCEF DOC. NO. 385  
RECEIVED NYSCEF: 08/10/2020  
INDEX NO. 153974/2020

\* <https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSPO8MNtA==>

/BD

[Sent from Yahoo Mail on Android](#)

----- Forwarded Message -----

**From:** "BD" <bondstr@protonmail.com>  
**To:** "Josephine.Vella@finra.org" <Josephine.Vella@finra.org>  
**Cc:** "compliance@gs.com" <compliance@gs.com>, "compliance@bofa.com" <compliance@bofa.com>, "jpminvestorrelations@jpmchase.com" <jpminvestorrelations@jpmchase.com>, "compliance@jpmchase.com" <compliance@jpmchase.com>, "endowmentadmin@columbia.edu" <endowmentadmin@columbia.edu>, "ombudsman@finra.org" <ombudsman@finra.org>, "slaskowitz@ingramllp.com" <slaskowitz@ingramllp.com>, "ombudsman@sec.gov" <ombudsman@sec.gov>, "info@bressler.com" <info@bressler.com>, "info@kpmg.com" <info@kpmg.com>, "info@tudor.com" <info@tudor.com>  
**Sent:** Thu, May 5, 2022 at 9:15 AM  
**Subject:** Fwd: Loan 50074 – ANNEXED 153974/2020. MiFID–1516523.  
No issue of a "security"?

Excuse me ...

these MS characters are no different than a corrupt used car salesman...

With a long history of this Type of activity, like peas in a pod FILED, just like the two dollars accepted with no question me asked by a counselor of the Zucker.

ty in advance for your timely review of the prior artifacts, including the receipt for her \$2 pro.

Take care.

----- Forwarded message -----

**From:** Bo Dincer <[bdincer66@icloud.com](mailto:bdincer66@icloud.com)>  
**Date:** On Tue, May 3, 2022 at 1:05 AM  
**Subject:** Fwd: Loan 50074 – ANNEXED 153974/2020  
**To:** Chair <[chair@sec.gov](mailto:chair@sec.gov)>, Newyork <[newyork@sec.gov](mailto:newyork@sec.gov)>, American Bar Association <[abanews@americanbar.org](mailto:abanews@americanbar.org)>, <[newyork@cravath.com](mailto:newyork@cravath.com)>, <[info@kpmg.com](mailto:info@kpmg.com)>, Extellmanagement Info <[info@extellmanagement.com](mailto:info@extellmanagement.com)>, <[investor@annaly.com](mailto:investor@annaly.com)>, <[investor@annaly.com](mailto:investor@annaly.com)>, UNIVERSAL EDITORIAL <[INVESTMENTNEWS@editorial.investmentnews.com](mailto:INVESTMENTNEWS@editorial.investmentnews.com)>, UNITED ARTISTS MUSIC <[INVESTORRELATIONS@umusic.com](mailto:INVESTORRELATIONS@umusic.com)>  
**Cc:** Shari Laskowitz <[slaskowitz@ingramllp.com](mailto:slaskowitz@ingramllp.com)>, Ricki Roer <[ricki.roer@wilsonelser.com](mailto:ricki.roer@wilsonelser.com)>, Ashley V. Humphries <[ashley.humphries@wilsonelser.com](mailto:ashley.humphries@wilsonelser.com)>, <[info@wilsonelser.com](mailto:info@wilsonelser.com)>, <[mediainquiries@morganstanley.com](mailto:mediainquiries@morganstanley.com)>, <[rss@ap.com](mailto:rss@ap.com)>, <[INFO@ingramllp.com](mailto:INFO@ingramllp.com)>, Ap Info <[info@ap.org](mailto:info@ap.org)>, <[INFO@ingramllp.com](mailto:INFO@ingramllp.com)>, Amy Hanrahan <[amy.hanrahan@wilsonelser.com](mailto:amy.hanrahan@wilsonelser.com)>, Alan Rubin <[alan.rubin@wilsonelser.com](mailto:alan.rubin@wilsonelser.com)>, Lauren M. Zink <[lauren.zink@wilsonelser.com](mailto:lauren.zink@wilsonelser.com)>, State Farm <[mutualfunds@statefarm.com](mailto:mutualfunds@statefarm.com)>, <[david.moore.ct95@statefarm.com](mailto:david.moore.ct95@statefarm.com)>, <[david.moore@statefarm.com](mailto:david.moore@statefarm.com)>, <[info@statefarm.com](mailto:info@statefarm.com)>, <[ir@statefarm.com](mailto:ir@statefarm.com)>, <[terrance.ludwig@statefarm.com](mailto:terrance.ludwig@statefarm.com)>, <[lzucker@mskyline.com](mailto:lzucker@mskyline.com)>

/BD

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** May 2, 2022 at 10:42:50 PM EDT  
**To:** hmarsh6@bloomberg.net, ahallman1@bloomberg.net, asmock1@bloomberg.net, avales1@bloomberg.net, bdr11@bloomberg.net, cminter2@bloomberg.net, cmoser7@bloomberg.net, ducrest2@bloomberg.net, fcastella3@bloomberg.net, gpoole2011@bloomberg.net, abari4@bloomberg.net, hrose17@bloomberg.net, jchan161@bloomberg.net, jhsui2@bloomberg.net, jwilson578@bloomberg.net, jyoung121@bloomberg.net, kbretz2@bloomberg.net, kgilmore10@bloomberg.net, khubbard1@bloomberg.net, ksaperstein2@bloomberg.net, ksheffield1@bloomberg.net, lgates16@bloomberg.net, mbroughton11@bloomberg.net, mdunford3@bloomberg.net, mlockridge2@bloomberg.net, rholt22@bloomberg.net, rmiddleton19@bloomberg.net, sbarlow28@bloomberg.net, skim1465@bloomberg.net, slawson15@bloomberg.net, smarsh16@bloomberg.net, sreid42@bloomberg.net, tbaker5@bloomberg.net, tlynch54@bloomberg.net, tsmith241@bloomberg.net, whao15@bloomberg.net, wruane1@bloomberg.net, Chair <chair@sec.gov>, Newyork <newyork@sec.gov>, Ricki Roer <ricki.roer@wilsonelser.com>, colin.brooks@morgan.stanley.com, kkarsten@bloomberg.net, Irogalski@bloomberg.net, Irogers@bloomberg.net, LZUCKER@mskyline.com, cswartzlande@bloomberg.net, dianehsiung@bloomberg.net, tgipson@bloomberg.net, ekhoziaeva@bloomberg.net, tips@insider.com, tips@latimes.com, gpradocorra@bloomberg.net, gshull@bloomberg.net, help@vogue.com, hncaldwell@bloomberg.net, tzelgert@bloomberg.net, jhoyer@bloomberg.net, vtrimpe@bloomberg.net, jmalito@bloomberg.net, jsocha@bloomberg.net, jtattwood@bloomberg.net, wreinthaler@bloomberg.net, mmayberger@bloomberg.net, mwhipple@bloomberg.net, rrebholz@bloomberg.net, rrenken@bloomberg.net, sbrucker@bloomberg.net, sjent@bloomberg.net, smbyrne@bloomberg.net, beuliss@bloomberg.net, bstephan@bloomberg.net, btrauner@bloomberg.net, ckurak@bloomberg.net, cmhigham@bloomberg.net, steve@bloomberg.net, Bo Dincer <bd2561@columbia.edu>, James Comey <jbc2167@columbia.edu>, Sec News <news@sec.gov>, Joseph Frumin <jefrumin@legal-aid.org>, Joseph Giamboi <joseph.giamboi@brooklaw.edu>, BBO 121 <ms60710444266@yahoo.com>, Shari Laskowitz <slaskowitz@ingramllp.com>, KEVIN ROCK <krock5@bloomberg.net>, Paul Regan <legal@mskyline.com>, Bo Dincer <bo.dincer@yahoo.com>, LA TIMES NEWSLETTERS <newsletters@latimes.com>, Lee Bollinger <lcb50@columbia.edu>, MATT HARVEY <mharvey13@bloomberg.net>, Bo Dincer <b.dincer@columbia.edu>, DONALD ZUCKER <dzucker@mskyline.com>, Steve O'Connell <Sgo2107@columbia.edu>, "Ashley V. Humphries" <ashley.humphries@wilsonelser.com>  
**Cc:** INFO@physiciansmutual.com, mutualfunds@statefarm.com  
**Subject:** Fwd: Loan 50074 – ANNEXED 153974/2020

/BD

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** May 2, 2022 at 10:32:04 PM EDT  
**To:** info@ingramllp.com, david.moore.ct95@statefarm.com, dallas-reserve-mgmt@dal.frb.org, daniel.flores@wilsonelser.com, david.moore@statefarm.com, DAVID.LI@nbcuni.com, iceglobalnetwork-info@ice.com, 1pctdvo@nypd.org, 1pctyco@nypd.org, edi.civil.rights.division@irs.gov, Kids Privacy <kidsprivacy@viacomcbs.com>, Chair <chair@sec.gov>, newyork@cravath.com, Newyork <newyork@sec.gov>, 10pctdvo@nypd.org, 18pctyco@nypd.org, foiaconsultsandref@atf.gov, LZUCKER@mskyline.com, slaskowitz@mskyline.com, info@wilsonelser.com, "Ashley V. Humphries" <ashley.humphries@wilsonelser.com>, ZUCKER ORGANIZATION <jgiamboi@mskyline.com>, ZUCKER ORGANIZATION <jgiamboi@mskyline.com>, 90pctdvo@nypd.org, teschmann@mskyline.com, Tennessee <sbarchenger@tennessean.com>, tips@insider.com, OCMgmtGrp@fdic.gov, assessments@fdic.gov, assetmarketing@fdic.gov, premerger@ftc.gov, CHICAGO@sec.gov  
**Cc:** bbreif@bloomberg.net, bofamarkets@baml.com, jpetit@mccarter.com, thermanson@northmarq.com, BBO 121 <ms60710444266@yahoo.com>, Bd Dincer <bdincer211@bloomberg.net>, B Dincer <bdincer1768@bloomberg.net>, bdincer66@icloud.com, press@barrons.com, espnfrontrow@espn.com  
**Subject:** Fwd: Loan 50074 – ANNEXED 153974/2020

She must have been on the whiskey ...

**FILED: NEW YORK COUNTY CLERK 08/11/2020 12:35 PM**  
NYSCEF DOC. NO. 399

INDEX NO. 153974/2020  
RECEIVED NYSCEF: 08/11/2020



Shari S. Laskowitz

August 10, 2020

Writer's Direct Dial: (212) 907-9696  
E-Mail: slaskowitz@ingramllp.com

**VIA FIRST-CLASS MAIL**  
**And EMAIL: [bd2561@columbia.edu](mailto:bd2561@columbia.edu)**  
Baris Dincer  
111 Sullivan Street, Apt. 2BR  
New York, New York 10012

**Re: 111 Sullivan Street, Apt. 2BR  
New York, New York 10012 (the "Premises")**

Dear Mr. Dincer:

As you know, we are the attorneys for Sullivan Properties, L.P, ("Landlord") your Landlord at the Premises. Over this past weekend in particular, you have contacted and harassed Landlord's employees, management and owners. Please be advised that under no circumstances should you contact my client or anyone associated with the Landlord directly. If you have a maintenance request or issue with the building, you may contact me via e-mail only. I will only



respond to proper requests; any other communications will not be responded to.

By the terms of your own documents, including your numerous court filings, you have filed fallacious claims and/or reports with the Better Business Bureau, the Federal Trade Commission, State Farm Realty Insurance LLC, as well as others. On behalf of the Landlord, we hereby demand you cease and desist from any and all contact and interference with any business affiliates of the Landlord, including, but not limited to, State Farm Realty Insurance LLC, and

XXXX

### Item C.16. Brokers.

#### Instructions to Item C.16 and Item C.17.

To help Registrants distinguish between agency and principal transactions, and to promote consistent reporting of the information required by these items, the following criteria should be used:

1. If a security is purchased or sold in a transaction for which the confirmation specifies the amount of the commission to be paid by the Registrant, the transaction should be considered an agency transaction and included in determining the answers to Item C.16.
2. If a security is purchased or sold in a transaction for which the confirmation specifies only the net amount to be paid or received by the Registrant and such net amount is equal to the market value of the security at the time of the transaction, the transaction should be considered a principal transaction and included in determining the amounts in Item C.17.
3. If a security is purchased by the Registrant in an underwritten offering, the acquisition should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the underwriters are receiving from the issuer.
4. If a security is sold by the Registrant in a tender offer, the sale should be considered a principal transaction and included in answering Item C.17 even though the Registrant has knowledge of the amount the offeror is paying to soliciting brokers or dealers.
5. If a security is purchased directly from the issuer (such as a bank CD), the purchase should be considered a principal transaction and included in answering Item C.17.
6. The value of called or maturing securities should not be counted in either agency or principal transactions and should not be included in determining the amounts shown in Item C.16 and Item C.17. This means that the acquisition of a security may be included, but it is possible that its disposition may not be included. Disposition of a repurchase agreement at its expiration date should not be included.
7. The purchase or sales of securities in transactions not described in paragraphs (1) through (6) above should be evaluated by the Fund based upon the guidelines established in those paragraphs and classified accordingly. The agents considered in Item C.16 may be persons or companies not registered under the Exchange Act as securities brokers. The persons or companies from whom the investment company purchased or to whom it sold portfolio instruments on a principal basis may be persons or entities not registered under the Exchange Act as securities dealers.

a. For each of the ten brokers that received the largest dollar amount of brokerage commissions (excluding dealer concessions in underwritings) by virtue of direct or indirect participation in the Fund's portfolio transactions, provide the information below:

Brokers Record: 1

|  |                          |
|--|--------------------------|
| i. Full name of broker   | Instinet, LLC            |
| ii. SEC file number  | 008-23669                |
| iii. CRD number  | 000007897                |
| iv. LEI, if any  | N/A                      |
| v. State, if applicable  | DELAWARE                 |
| vi. Foreign country, if applicable                               | UNITED STATES OF AMERICA |
| vii. Gross commissions paid by the Fund for the reporting period | 127,059.760000000000     |

Brokers Record: 2

|  |                          |
|--|--------------------------|
| i. Full name of broker   | Morgan Stanley & Co. LLC |
| ii. SEC file number  | 008-15869                |
| iii. CRD number  | 000008209                |
| iv. LEI, if any  | N/A                      |
| v. State, if applicable  | DELAWARE                 |
| vi. Foreign country, if applicable                               | UNITED STATES OF AMERICA |
| vii. Gross commissions paid by the Fund for the reporting period | 21,307.100000000000      |

Brokers Record: 3

|                        |                   |
|------------------------|-------------------|
| i. Full name of broker | Cantor Fitzgerald |
|------------------------|-------------------|

ii. SEC file number   
iii. CRD number   
iv. LEI, if any

v. State, if applicable

1 OTHER QUESTION [00026] 153974\_2020 - Message (HTML)

From: BSCP GROUP PHILANTHROPY <PHILANTHROPY@hutchmen.com>  
To: LZUCKER@MSKYLINE.COM; LEGAL@MSKYLINE.COM  
Cc: wmckenzie@nyscef.com; sgo2107@columbia.edu  
Subject: 1 OTHER QUESTION [00026] 153974\_2020

Message  
[00038] 153974\_2020 - AFFIDAVIT OF SERVICE.pdf (95 KB)  
[00040] 153974\_2020 -- AFFIRMATION OF PLAINTIFF.pdf (459 KB)  
[00025]153974\_2020 - LaToya Britton Legalsst\_mskyline.com ANNE.BRANDON APRIL 13.pdf (453 KB)

Hi,  
*my name is New Rochelle... and I was just waAAAndering...*

[00031] 153974\_2020 -- NOTARIZED APRIL 11TH IN CALIFORNIA BY WHO?  
[00025] 153974\_2020 -- ANNE BRANDON APRIL 13 OBO of WHO?  
[00038] 153974\_2020 -- AFFIDAVIT OF SERVICE by WHO?  
[00040] 153974\_2020 -- AFFIRMATION OF PLAINTIFF which is WHO?

----- PROTECTING SOMETHING MUCH DEEPER -----  
of the three symbols below, which is the % SYMBOL

LEFT - CENTER - RIGHT  
X - Z - Y

NOT IN THAT ORDER.. IN THE ORDER OF THE INDEX AS ENTERED BY A SLUMLORD. YOU SEE, I EVEN RECYCLE.

*Is/ BO DINCER  
(m) 646-256-3609*

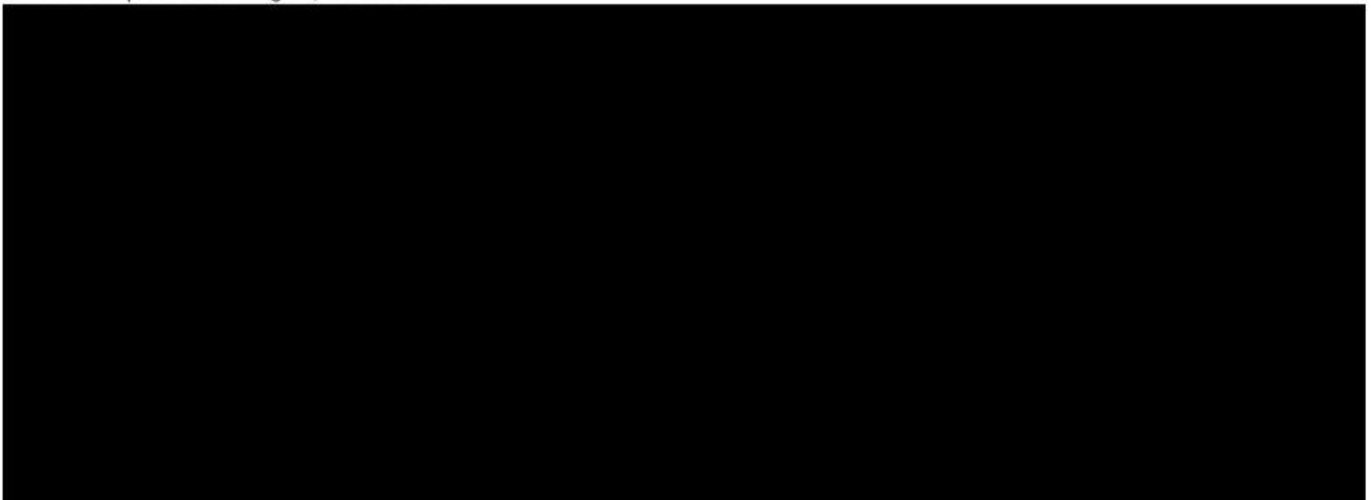
DOCKET NYSCEF 153974, 00048 - ALSO ENTERED BY PLAINTIFF.

XXXX

## YAHOO! MAIL

**Subject** Fw: illegal rent reported \*\*\*\* 2020 Semi FY. Attached. DC also.  
**From** Bo Dincer <bo.dincer@yahoo.com>  
**To** MSRB <msrbsupport@msrb.org>, MILTON MCKENZIE <ms60710444266@yahoo.com>, wmckenzie@nycourts.gov <wmckenzie@nycourts.gov>, Shari Laskowitz <slaskowitz@ingramllp.com>, lzucker@mskyline.com <lzucker@mskyline.com>, Paul Regan <legal@mskyline.com>, pregan@mskyline.com <pregan@mskyline.com>, Ricki Roer <ricki.roer@wilsonelser.com>, MATT HARVEY <mharvey13@bloomberg.net>, InvestmentNews Daily Pulse <investmentnews@editorial.investmentnews.com>, PAM OLSON <pam.olson@us.pwc.com>, Investor Delivery <prospectus\_mbox@investordelivery.com>, InvestmentNews <editorial@investmentnews.com>, press@barrons.com <press@barrons.com>  
**Cc** iceglobalnetwork-info@ice.com <iceglobalnetwork-info@ice.com>, james.gorman@morganstanley.com <james.gorman@morganstanley.com>, mshyld@bloomberg.net <mshyld@bloomberg.net>, mshy1@bloomberg.net <mshy1@bloomberg.net>, mshy2@bloomberg.net <mshy2@bloomberg.net>, BofAMarkets@bofa.com <BofAMarkets@bofa.com>, john.varriano@morganstanley.com <john.varriano@morganstanley.com>, JPMInvestorrelations@jpmchase.com <JPMInvestorrelations@jpmchase.com>, Bloomberg L.P. <bblawre@bloomberg.net>, blawre@bloomberg.net <blawre@bloomberg.net>, FINRA Corporate Notification <finracorporatenotification@finra.org>, Fidelity Investments <fidelity.investments@mail.fidelity.com>, iceimssupport@theice.com <iceimssupport@theice.com>, Solowresidential Info <info@solowresidential.com>, investor@annaly.com <investor@annaly.com>, Extellmanagement Info <info@extellmanagement.com>, tips@latimes.com <tips@latimes.com>, tips@insider.com <tips@insider.com>, Brooklyn Tap House <tips@nypost.com>, msrbsupport@msrb.org <msrbsupport@msrb.org>, Skys the Limit Concierge <skysthelimit@theconciierge.info>, tmprosecutordocs@uspto.gov <tmprosecutordocs@uspto.gov>, IMshareholderproposals <imshareholderproposals@sec.gov>  
**Bcc** BD <bondstr@protonmail.com>, Stephen O'Connell <sgo2107@columbia.edu>, Chicago Office Equipment & Supplies <chicago@sec.gov>, Newyork <newyork@sec.gov>, FOX ROTHSCHILD <jrose@foxrothschild.com>, tips@vibe.com <tips@vibe.com>, The New York Times <letters@nytimes.com>, Guestofguest Info <info@guestofguest.com>, UNITED ARTISTS MUSIC <investorrelations@umusic.com>, Alan J. Morrison <ajm157@columbia.edu>  
**Date** Tue, Mar 1, 2022 at 1:46 AM

1 state farm plaza. Bloomington, iL 61710-0001





[« Return to search form](#)

## Violation Tracker Individual Record

**Company:** State Farm Lloyds

**Current Parent Company:** [State Farm Insurance](#)

**Penalty:** \$352,500,000

**Year:** 2015

**Date:** March 10, 2015

**Offense Group:** consumer-protection-related offenses

**Primary Offense:** insurance violation

### Violation Description:

A Texas subsidiary of State Farm was ordered to refund \$352.5 million to residential property insurance premiums after the company's rates were found to be unreasonable in relation to the risks they covered.

**Level of Government:** state

**Action Type:** agency action

**Agency:** Texas Department of Insurance

**Civil or Criminal Case:** civil

**Facility State:** Texas

**Facility City:** Dallas

**HQ Country of Parent:** USA

**HQ State of Parent:** Illinois



### Tips, Complaints, and Referrals

Summary Page - Submitted Externally

**Submission Number 16368-809-301-165 was submitted on Saturday, November 13, 2021 at 07:54:50 AM EST**

**This PDF was generated on Saturday, November 13, 2021 at 07:54:57 AM EST**

Thank you for contacting the United States Securities and Exchange Commission. This automated response confirms that your submission has been received successfully. We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or non-existence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts. Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit [http://www.sec.gov/complaint/info\\_tipscomplaint.shtml](http://www.sec.gov/complaint/info_tipscomplaint.shtml).

### What is your complaint about?

**Q: Please select the option that best describes your complaint.**

**A: Material misstatement or omission in a company's public filings or financial statements, or a failure to file**



**Q: Please select the specific category that best describes your complaint.**

**A:** Internal Controls/Books and Records

**Q: Is this supplemental information to a previous complaint?**

**A:** No

**Q: In your own words, describe the conduct or situation you are complaining about.**

**A:** HERE ARE SOME NOTES - YOUR TIME IS VALUABLE - SO IS MINE... BUT IF I TOOK IT THIS FAR... I AM 100% SO I MAKE SURE IT IS EXECUTED PROPERLY. Re: NYSCEF 158143 / ADMISSIONS / AFFIDAVITS / E-SERVICE NYSCEF 153974 / ADMISSIONS/ AFFIDAVITS / E-SERVICE William McKenzie Part Clerk to the Honorable Shlomo S. Hagler, J.S.C. New York Supreme Court, Civil Branch - Part 17 60 Centre Street, Room 335 New York, NY 10007 TEL.: 646-386-3283 THE PRIMARY SUBSTANCE WHICH IS CRITICAL, IS PRECEDENCE AND GRANTING A BROAD RANGE TO ALL REAL ESTATE PROPERTY OWNERS WHO HAVE TENANTS, NOT

> "IN GOOD CANDOR"... TO THEIR RESPONSIBILITIES AS PROMOTERS.  
> HENCE. CURRENTLY WILL ALSO NOT ACCEPT ANY INFORMATION ELECTRONICALLY.  
> HOWEVER CONTINUED TO ACCEPT SUBSCRIPTIONS AS WELL...  
SO IN MY ASTONISHMENT OF PAYMENTS RECEIVED BY THE INSTITUTIONAL SALES MEMBERS REGISTERED AT THIS FIRM:

- >> IN FY 2020 COLLECTED \$95,933 IN PROMOTIONAL SALES
- >> IN FY 2021 COLLECTED \$76,542 IN PROMOTIONAL SALES

---- NOTWITHSTANDING ANY COMMISSIONS AND SALES WITHOUT CONSIDERATION OF ANY RISK, ALSO PURCHASED AND ENGAGED IN UNFAIR TRADING PRACTICES AS WELL, HOWEVER WITHOUT FORCING TO DISCLOSURE OF A MATERIAL LEGAL MATTER - ARE RECORDED THREE TIMES AS A PROMOTING BROKER, DESPITE HAVING KNOWLEDGE OF THESE MATERIALS FACTS BELOW.

**> WHILE AN UNREGISTERED SECURITY, LETTER OF CREDIT, INDEMNITY DOES NOT REGISTER IN THE BRAIN OF AN INTELLIGENT PERSON...**

1. THE ACCOUNTING FEES AS REPORTED IN THE EDGAR FILING DURING A NON "UIT" FIRM MERGER BY A '40 ACT FUND.  
- NOT COVERED OR REPORTED AS SUCH BY ITS OWN COMPLIANCE OFFICE, MR. LUDWIG AT STATE FARM.

2. ONE ACCOUNTING FIRM "PRICE WATERHOUSE COOPERS" CHARGED \$9,000.00 IN ACCOUNTING FEES.  
- IS OBSTRUCTION AND AVOIDANCE TO THE FACT THAT THEY ARE NOT COVERED FOR THE OMISSION.

3. \$170,000.00 IN PROXY LETTERS ACCOUNTED FOR AND REPORTED AS "POSTAGE" WITH RETURN LETTERS STAMPED, OR NOT?

4. THE AUDIT OF THOSE LETTERS IS MORE EXPENSIVE THAN THE POSTAGE.

5. ALSO, AS A PROMOTER - RECEIVED PAYMENTS WITHOUT DISCLOSURE TO THE MARKETS AND ENJOINED OTHERS, WHO WITHOUT KNOWLEDGE ARE NOT ALSO "PROMOTING BROKERS" WITHOUT DISCLOSURE OF A CERTAIN "UNREGISTERED SECURITY" AND A CERTAIN "FAILURE TO DISCLOSE OUTSIDE BUSINESS ACTIVITY" AND A CERTAIN LOSS DURING A MATERIAL AND TIME SENSITIVE "MERGER"

UNDER THE SCOPE OF ONE CERTAIN PROMOTING BROKER, AND INSTITUTIONAL SALES PROFESSIONALS.

MS premiums received, for a class 1 performance

From: B Dincer (COLUMBIA UNIVERSITY)<bdincer1768@bloomberg  
Date: On Tue, Nov 16, 2021 at 2:33 PM  
Subject: Fwd:NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATERIAL COF  
pl\_BGBGBG  
To: <bdincer66@icloud.com>, <bondstrt@protonmail.com>, <mhar  
Cc: <legal@mskylines.com> <lzucker@mskylines.com> <slackowitz

cc: [legal@mskylin.com](mailto:legal@mskylin.com), [stefano@mskylin.com](mailto:stefano@mskylin.com), [andrea@mskylin.com](mailto:andrea@mskylin.com)

• Matt Harvey



Investment Analyst

State Farm Mutual Automobile Ins Co

+1-309-766-2371 (o)

+1-224-436-2391

MHARVEY13@bloomberg.net (w)

1 State Farm Plz

Investment Department E-8

Bloomington IL 61701, US

Back in the office Tuesday through Thursday

News | More >

From: B Dincer (COLUMBIA UNIVERSITY) At: 11/16/2021  
To: JAMES.GORMAN@MORGANSTANLEY.COM  
Cc: Irfan Susilo (BLOOMBERG/ 919 3RD A ) , Brynne (BLOOMBERG/ 919 3RD A ) , Ho Tak Tsang (BLOOMBERG/ 919 3RD A ) , Valkiunas (BLOOMBERG/ 919 3RD A ) , Bam Compliance (BLOOMBERG/ 919 3RD A ) , Cisa Compliance (CLSA HONG KONG ) , (SECURITIES & EXCHANG ) , Andy Kim (SECURITIES & EXCHANG ) , Shelton (SECURITIES & EXCHANG ) , legal@mskylin.com, slaskowitz@ingramllp.com, abanews@americanbar.org, bd2561@columbia.edu, nyscef@nycourts.com  
Subject: NYSCEF >> REBNY >> SEC >> 483 \*\*\* MATEF

XXX

11:07



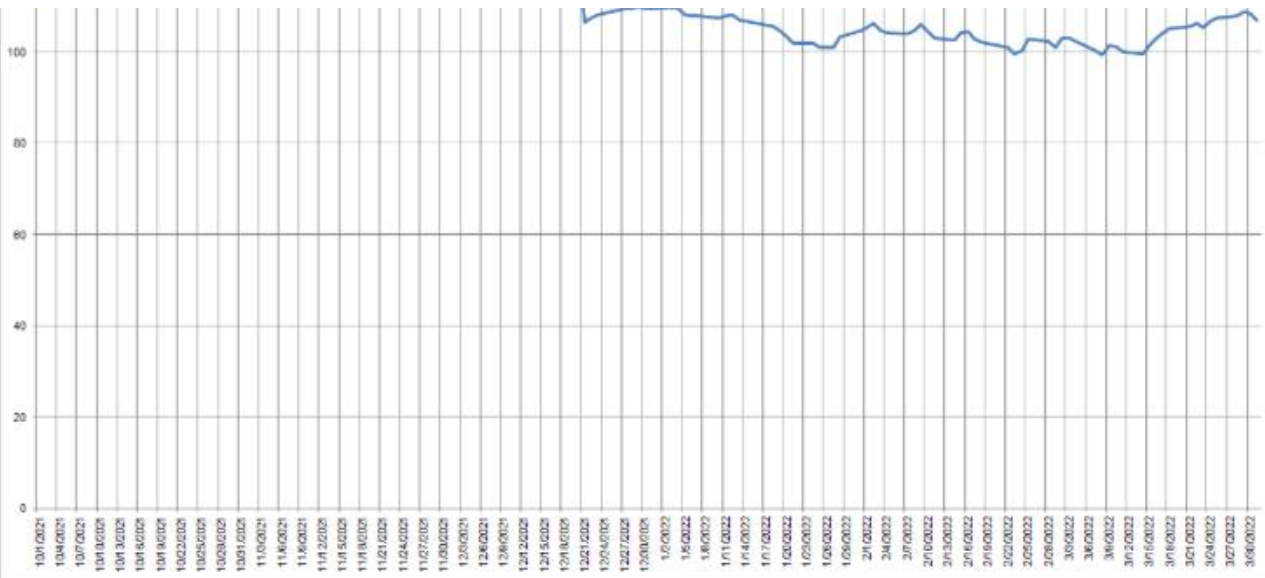
December 18, 2021 ---- Ad...



December 18, 2021







8:32

21%

← Sent



From: BD DINCER (COLUMBIA UNIVERSITY) At: 12/18/21 18:29:29 UTC-5:00

To: [Priya Raghuram \(MORGAN STANLEY & CO.\)](#), [Ted Bassani \(ICE BONDS SECURITIES\)](#), [Bill Cassese \(ICE BONDS SECURITIES\)](#), [Paul Fairbrother \(MFS INTERNATIONAL \(U\)\)](#), [Ian Fairbrother \(EDGEHILL PARTNERS\)](#), [colin.brooks@morganstanley.com](mailto:colin.brooks@morganstanley.com)

Cc: [William Downey \(FINRA\)](#), [Ms Hy \(MORGAN STANLEY INVES\)](#), [Ms Hyld \(MORGAN STANLEY\)](#), [Trudy Clarke \(FINRA\)](#), [Susan Byrne \(WESTWOOD MANAGEMENT\)](#), [Julie Hoyer \(STATE FARM MUTUAL AU\)](#), [Greg Shull \(STATE FARM MUTUAL AU\)](#), [Jeffrey Attwood \(STATE FARM MUTUAL AU\)](#), [John Malito \(STATE FARM MUTUAL AU\)](#), [Steven Santiccioli \(NORTHERN TRUST COMPA\)](#), [Robert Stephan \(STATE FARM MUTUAL AU\)](#), [Lisa Rogers \(STATE FARM MUTUAL AU\)](#), [Elena Khoziaeva \(BRIDGEWAY CAPITAL MA\)](#), [Bill Trauner \(STATE FARM MUTUAL AU\)](#), [Heather Caldwell \(STATE FARM MUTUAL AU\)](#), [Theresa Baker \(STATE FARM MUTUAL AU\)](#), [Tammy Gipson \(STATE FARM MUTUAL AU\)](#), [Brent Reeder \(NORTHERN TRUST COMPA\)](#), [Michael Whipple \(BRIDGEWAY CAPITAL MA\)](#), [Michael Zarogian \(STATE FARM MUTUAL AU\)](#), [Terence Lynch \(GAINSCO SERVICE CORP\)](#), [Rebekah Holt \(STATE FARM MUTUAL AU\)](#), [Katie Hubbard \(STATE FARM MUTUAL AU\)](#), [Leigh Ann Rogalski \(STATE FARM MUTUAL AU\)](#), [Chad Moser \(STATE FARM MUTUAL AU\)](#), [Tim Zelgert \(STATE FARM MUTUAL AU\)](#), [Ray Renken \(STATE FARM MUTUAL AU\)](#), [Kara Olsen \(STATE FARM MUTUAL AU\)](#), [Jim Chen](#)

Forgot to do their Job.

**SFBDX**

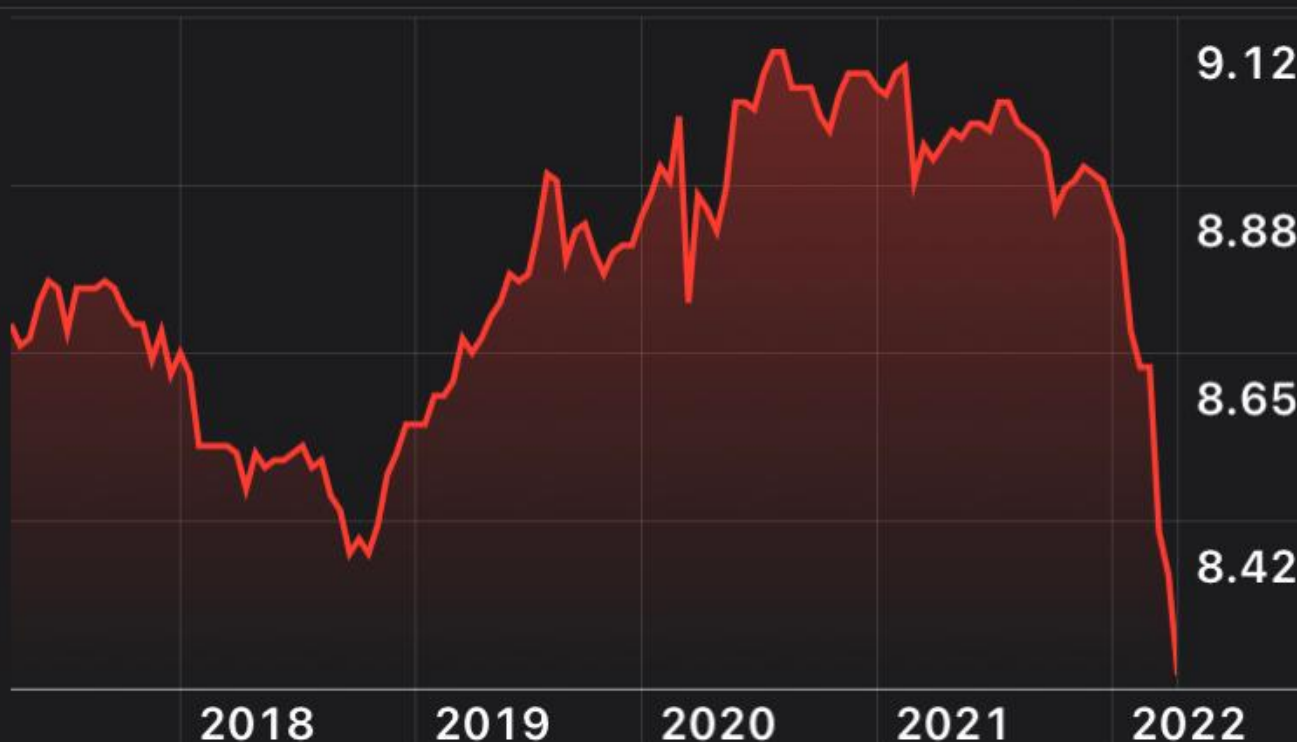
State Farm Municipal Bond Fund





8.18 694.0M

1W 1M 3M 6M 1Y 2Y 5Y 10Y



|      |   |         |        |         |     |
|------|---|---------|--------|---------|-----|
| Open | - | Vol     | -      | 52W H   | 9.0 |
| High | - | P/E     | -      | 52W L   | 8.2 |
| Low  | - | Mkt Cap | 694.0M | Avg Vol |     |

Begin forwarded message:

**From:** Bo Dincer <bdincer66@icloud.com>  
**Date:** May 2, 2022 at 10:21:44 PM EDT  
**To:** edi.civil.rights.division@irs.gov, angelique.sabia-candero@wilsonelser.com, 10pctyco@nypd.org, 18pctdvo@nypd.org, iceglobalnetwork-info@ice.com, 10pctdvo@nypd.org, 18pctyco@nypd.org, 1pctdvo@nypd.org, dcaoleaders@sec.gov, Secretarys-Office@sec.gov, 1pctyco@nypd.org, 4audit@bloomberg.net, MSKYLINE <ANNE@thehighlandpartners.com>, Tennessee <sbarchenger@tennessean.com>, Newyork <newyork@sec.gov>, Chair <chair@sec.gov>, BBO 121 <ms60710444266@yahoo.com>, judy.selmeci@wilsonelser.com, andrea.shiffman@wilsonelser.com, angel.vitiello@wilsonelser.com, aviva.stein@wilsonelser.com, carole.nimaroff@wilsonelser.com, corrine.shea@wilsonelser.com, daniel.flores@wilsonelser.com, elizabeth.scoditti@wilsonelser.com, ellyn.wilder@wilsonelser.com, erin.zecca@wilsonelser.com, grace.song@wilsonelser.com, jennifer.sciales@wilsonelser.com, lois.ottobrino@wilsonelser.com, meghan.rigney@wilsonelser.com, patricia.wik@wilsonelser.com, stacey.seltzer@wilsonelser.com, suzanne.swanson@wilsonelser.com, yana.siegel@wilsonelser.com, tips@vibe.com, membership@sohohouse.com, tips@latimes.com, help@vogue.com, press@barrons.com, tips@insider.com, Brooklyn Tap House <tips@nypost.com>, Debra Tama <debra.tama@wilsonelser.com>, JAMES GORMAN <james.gorman@morganstanley.com>, Joseph Giamboi <joseph.giamboi@brooklaw.edu>, Urvashi Sinha <urvashi.sinha@wilsonelser.com>, Kids Privacy <kidsprivacy@viacomcbs.com>, LA TIMES NEWSLETTERS <newsletters@latimes.com>, Lori Semlies <lori.semlies@wilsonelser.com>, K Ripp <stationservices@cbs2ny.com>, Alan Rubin <alan.rubin@wilsonelser.com>, Amy Hanrahan <amy.hanrahan@wilsonelser.com>, BO DINCER <bo.dincer@yahoo.com>, BO FREEMAN <bscpgroupholdingsllc@gmail.com>, Baris Dincer <bondstr@protonmail.com>, Paul Regan <legal@mskyline.com>, Ricki Roer <ricki.roer@wilsonelser.com>, Shari Laskowitz <slaskowitz@ingramllp.com>, "Jennifer M. Provost" <jennifer.provost@wilsonelser.com>, "Roger R. Gottilla" <roger.gottilla@wilsonelser.com>, "Lauren M. Zink" <lauren.zink@wilsonelser.com>, "Kathleen A. Mullins" <kathleen.mullins@wilsonelser.com>, "Ashley V. Humphries" <ashley.humphries@wilsonelser.com>, LZUCKER@mskyline.com, "Bloomberg L.P." <bbrief@bloomberg.net>, 23pctdvo@nypd.com, JPMcinvestorrelations@jpmchase.com  
**Cc:** slaskowitz@ingramllp.com, mutualfunds@statefarm.com, terrance.ludwig@statefarm.com, david.moore@statefarm.com  
**Subject:** Loan 50074 -

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=H4jMXghoLMU1ozmlj4VYHg==>

/s/ AUGUST 8TH, 2020

- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291002](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291002)
- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291003](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291003)
- [https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc\\_id=2020052000291004](https://a836-acris.nyc.gov/DS/DocumentSearch/DocumentImageView?doc_id=2020052000291004)

^  
1004 HAS SOME ADDITIONAL COVENANTS THEREIN, WAS NOT TERMINATED IN THE 90-DAY WINDOW.

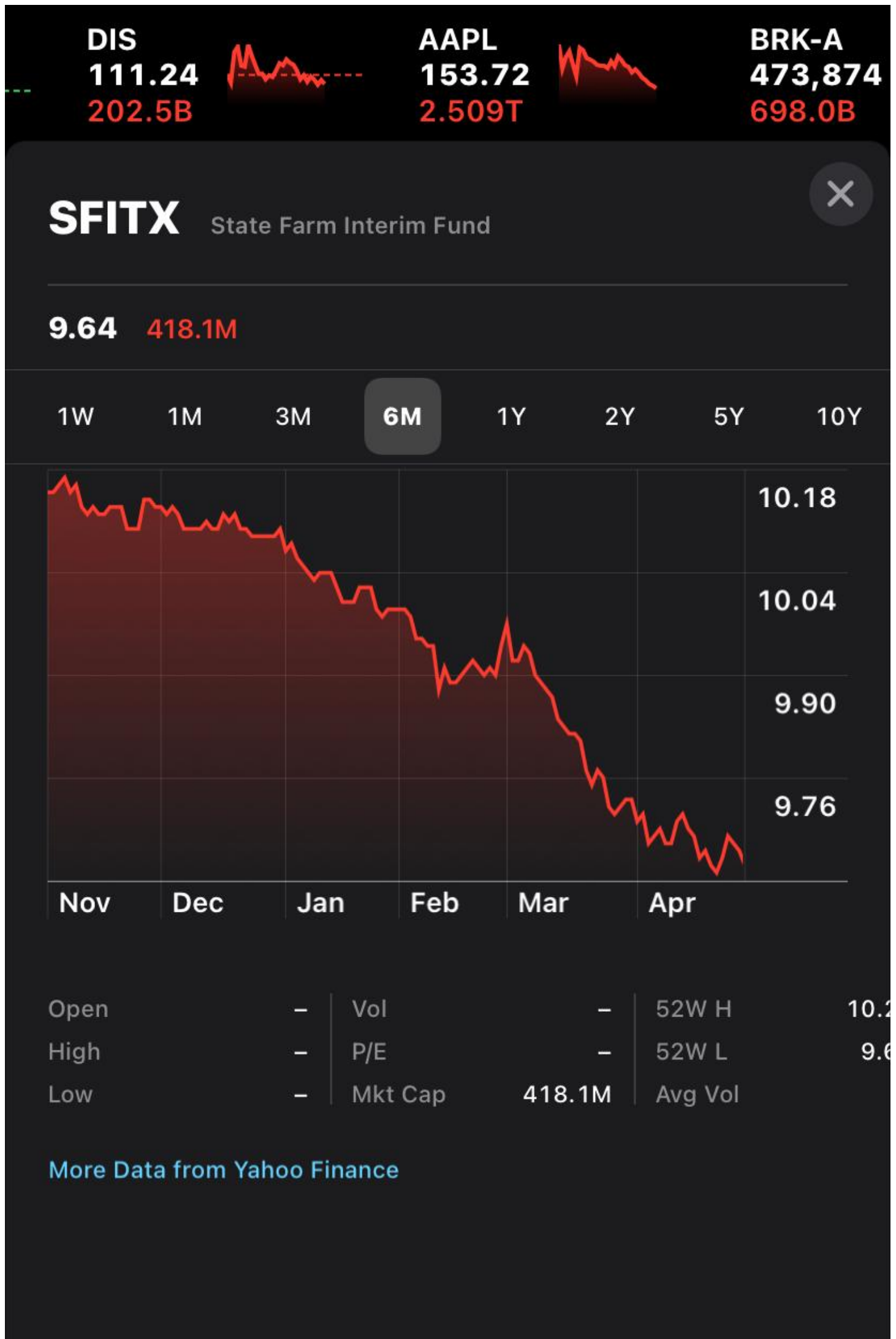
X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20190201&stmtType=SOA>

X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20181116&stmtType=SOA>

X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20180824&stmtType=SOA>

X----- <https://a836-mspuvw-dofptsz.nyc.gov/PTSCM/StatementSearch?bbl=1005030011&stmtDate=20180601&stmtType=SOA>

PREMIUMS ON THESE RECEIPTS HERE GO BACK 15 YEARS + are worth more than SFITX



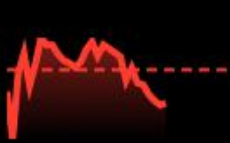


# No Recent Stories

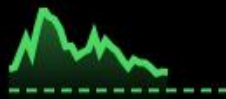
XXX

2:00

5G E



**HD**  
**302.14**  
312.2B



**NKE**  
**123.52**  
194.4B



**SB**  
**75**  
85

## STFBX

State Farm Balanced Fund



**82.84** 2.498B

1W

1M

3M

**6M**

1Y

2Y

5Y

10Y



|      |   |         |        |         |      |
|------|---|---------|--------|---------|------|
| Open | - | Vol     | -      | 52W H   | 96.2 |
| High | - | P/E     | -      | 52W L   | 82.8 |
| Low  | - | Mkt Cap | 2.498B | Avg Vol |      |

# No Recent Stories

-----#20

NYSCEF DOC. NO. 220  
RECEIVED NYSCEF: 08/02/2020  
INDEX NO. 153974/2020

[https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI\\_PLUS\\_Q==](https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=oFSKORPzy551BVUfHZGI_PLUS_Q==)

NYSCEF DOC. NO. 348  
RECEIVED NYSCEF: 08/09/2020  
INDEX NO. 153974/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=TxAa7cNVIHktnJU/ni/zvg==>

WARNING. JUNE 3RD, 2021 -----

NYSCEF DOC. NO. 385  
RECEIVED NYSCEF: 08/10/2020  
INDEX NO. 153974/2020

<https://iapps.courts.state.ny.us/nyscef/ViewDocument?docIndex=K9sgXcweC7esRoSP08MNtA==>

|  |                                      |
|--|--------------------------------------|
| a. Name of person (e.g., a custodian of records)                 | Terrence Ludwig                      |
| b. Street 1  | One State Farm Plaza                 |
| Street 2   |                                      |
| c. City  | Bloomington                          |
| d. State, if applicable  | ILLINOIS                             |
| e. Foreign country, if applicable                                | UNITED STATES OF AMERICA             |
| f. Zip code and zip code extension, or foreign postal code       | 617100001                            |
| g. Telephone number (including country code if foreign)          | 3097350561                           |
| h. Briefly describe the books and records kept at this location: | Financial Statements/ Administrative |

## Item B.4. Initial of final filings.

*Instruction.* Respond "yes" to Item B.4.b only if the Registrant has



filed an application to deregister or will file an application to deregister before its next required filing on this form.

a. Is this the first filing on this form by the Registrant?

Yes  No

b. Is this the last filing on this form by the Registrant?

Yes  No



FAILED TO DISCLOSE THIS MATTER IN NOVEMBER 16, 2021 --- THOSE TICKERS MOVED, AND I WAS N

[activity/funds-and-efds/](#)

| \$ CHG. PX                                       | % CHG. PX   | TICKER | 3/31/2022 | ASSE            |
|--|-------------|--------|-----------|-----------------|
| -11.62   | -9.8059072% | STFGX  |           | -642,04         |
| -5.76  | -6.1982137% | STFBX  |           | -149,38         |
| -0.40  | -3.9486673% | SFITX  |           | -18,89          |
| -0.51  | -5.7239057% | SFBDX  |           | -41,70          |
| THE BASIS OF ASSETS REPORT IN EDGAR FILED.: 2022 |             |        |           | -852,029,489.38 |

[715/000114554922006149/xslFormN-CEN\\_X01/primary\\_doc.xml](#)

ASSETS F